



## UNITED STATES PATENT AND TRADEMARK OFFICE

---

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND  
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

August 5, 2004

SAMUEL A. KASSATLY  
20690 VIEW OAKS WAY  
SAN JOSE, CA 95120  
US

Dear Sir/Madam,

This is to deny your refund request in the amount of \$230.00, for patent/serial number 09783666.

You sent an admenment and added claims.

8 x 18 = \$144.00

1 x 86 = \$ 86.00 Money was needed to pay for the added claims.

Sincerely,

Eleanor Kurtz  
Technical Center Others  
703 305-4762  
Refund Branch, Office of Finance

Wednesday, June 30, 2004 1:13 PM

Samuel A. Kassatly 408-323-5112

p.01

**SAMUEL A. KASSATLY**

Attorney-at-Law

Technology and Intellectual Property Matters

20690 View Oaks Way  
San Jose, CA 95120  
Telephone: (408) 323-5111  
Facsimile: (408) 323-5112

orbsak@pacbell.net

**FACSIMILE TRANSMITTAL**

DATE: June 30, 2004  
TO: **ATTENTION: REFUND SECTION,  
ACCOUNTING DIVISION, OFFICE OF FINANCE**  
U.S. Patent and Trademark Office  
**FACSIMILE NO.: 703-308-5077**

FROM: Samuel A. Kassatly  
TELEPHONE NO.: (408) 323-5111  
FACSIMILE NO.: (408) 323-5112  
ATTY DOCKET NO.: ARC920010009US1  
SUBJECT: Request for Refund  
Deposit Account: 500219

Title: "Automatic Relevance-Based Preloading Of Relevant Information In Portable  
Devices"

Applicant(s): Reiner Kraft et al.

Attorney Docket No.: ARC920010009US1

Serial No.: 09/783,666

Filing Date: 02/14/2001

TOTAL NUMBER OF PAGES INCLUDING THIS COVER PAGE **5**

Respectfully submitted,



Samuel A. Kassatly  
Reg. No. 32,247  
Date: June 30, 2004

Enclosure: Request for Refund

**CERTIFICATE OF FAXING**

I hereby certify that this correspondence is being faxed to the U.S. Patent and Trademark Office,  
to fax No. 703 872-9306, on June 30, 2004.



Samuel A. Kassatly

**BEST AVAILABLE COPY**

Sir:

**REQUEST FOR REFUND**  
(Improper charge of Deposit Account)

**1. REFUND REQUEST**

This is a request for a refund with respect to the charge to Deposit Account 500219 shown on the enclosed statement dated June 2004 for the above-identified

☒ application☐ patent

☐ A copy of the monthly statement in which the error referred to occurs, accompanies this request.

**AMOUNT OF  
REFUND  
REQUESTED**

<input type="checkbox"/> filing fee	_____
<input type="checkbox"/> surcharge for filing the basic filing fee on a date later than the filing date of the application (37 CFR 1.16(e))	_____
and/or	
<input type="checkbox"/> surcharge for filing the oath or declaration on a date later than the filing date of the application (37 CFR 1.16(e))	_____
<input type="checkbox"/> extension of term	_____
<input type="checkbox"/> first month	_____
<input type="checkbox"/> second month	_____
<input type="checkbox"/> third month	_____
<input type="checkbox"/> fourth month	_____
<input checked="" type="checkbox"/> excess dependent claims	<u>\$144</u>
<input checked="" type="checkbox"/> excess independent claim	<u>\$ 86</u>
<input type="checkbox"/> issue fee	_____
<input type="checkbox"/> petition fee	_____
<input type="checkbox"/> patent maintenance fee	_____
<input type="checkbox"/> first maintenance fee	_____
<input type="checkbox"/> second maintenance fee	_____
<input type="checkbox"/> third maintenance fee	_____
<input type="checkbox"/> patent maintenance fee surcharge	_____
<input type="checkbox"/> other _____	_____
<b><u>TOTAL REFUND REQUESTED</u></b>	<b><u>\$ 230</u></b>

Enclosed please find a copy of the fee transmittal form in the subject patent application clearly indicating that the fee is to be charged to the following deposit account: 09-0441.

**III. MANNER OF REFUND**

Please make refund by

- ☒ crediting Account No. 500219 - Samuel A. Kassatly Law Office  
☐ refunding payment

Respectfully submitted,



Samuel A. Kassatly (Reg. No. 32,247)

Samuel A. Kassatly Law Office  
20690 View Oaks Way  
San Jose, CA 95120  
Telephone no. (408) 323-5111  
Facsimile no. (408) 323-5112  
Attorney Docket No. IBM-049,ARC



United States  
Patent and  
Trademark Office

6/30/04

## Deposit Account Statement



**Requested Statement Month:** June 2004  
**Deposit Account Number:** 500219  
**Name:** SAMUEL A KASSATLY  
**Attention:**  
**Address:** 20690 VIEW OAKS WAY  
**City:** SAN JOSE  
**State:** CA  
**Zip:** 95120

DATE	SEQ	POSTING REF TXT	ATTORNEY DOCKET NBR	FEE CODE	AMT IN DISPUTE	BAL
06/17	38	6163426	RR1379	1551	\$910.00	\$3,003.00
06/18	4	09783666	ARC920010009	1201	\$86.00	\$2,917.00
06/18	5	09783666	ARC920010009	1202	\$144.00	\$2,773.00
		START BALANCE	SUM OF CHARGES	SUM OF REPLENISH	END BALANCE	
		\$3,913.00	\$1,140.00	\$0.00	\$2,773.00	

[Need Help?](#) | [Return to USPTO Home Page](#) | [Return to Finance Online Shopping Page](#)

BEST AVAILABLE COPY

PTO/SB/17 (10-03)  
 Approved for use through 07/31/2006. OMB 0661-0032  
 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE  
 Under the Paperwork Reduction Act of 1995, no person is required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 144

## Complete If Known

Application Number 08/783,668  
 Filing Date 02/14/2001  
 First Named Inventor Rainer Kraft  
 Examiner Name Mohammad A. Siddiqi  
 Art Unit 2154  
 Attorney Docket No. APO820010009US1

## METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account

Deposit Account Number 09-0441  
 Deposit Account Name International Business Machines

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) or any underpayment of fee(s)

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 770	2001 385	Utility filing fee	
1002 340	2002 170	Design filing fee	
1003 630	2003 285	Plant filing fee	
1004 770	2004 385	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	

SUBTOTAL (1) (\$)

### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
28	28 - 20 = 8	8 x \$18 =	144
3	3 - 3 = 0	0 x \$80 =	0
		\$290 =	0

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
1202 18	2202 9	Claims in excess of 20
1201 88	2201 43	Independent claims in excess of 3
1203 280	2203 145	Multiple dependent claim, if not paid
1204 88	2204 43	** Reissue independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 144

\*or number previously paid, if greater. For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1081 100	2031 55	Surcharge - late filing fee or oath	
1082 50	2032 25	Surcharge - late provisional filing fee or cover sheet	
1063 130	1053 130	Non-English specification	
1812 2,820	1812 2,820	For filing a request for ex parte reexamination	
1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action	
1251 110	2251 55	Extension for reply within first month	
1252 420	2252 210	Extension for reply within second month	
1253 890	2253 475	Extension for reply within third month	
1254 1,480	2254 740	Extension for reply within fourth month	
1255 2,010	2255 1,005	Extension for reply within fifth month	
1401 330	2401 165	Notice of Appeal	
1402 830	2402 165	Filing a brief in support of an appeal	
1403 290	2403 145	Request for oral hearing	
1451 1,010	1451 1,010	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,330	2453 665	Petition to revive - unintentional	
1501 1,330	2501 665	Utility issue fee (or reissue)	
1802 480	2502 240	Design issue fee	
1903 940	2503 320	Plant issue fee	
1450 130	1450 130	Petitions to the Commissioner	
1807 50	1807 50	Processing fee under 37 CFR 1.17(a)	
1808 180	1808 180	Submission of Information Disclosure Stmt	
8021 40	8021 40	Recording each patent assignment per property (times number of properties)	
1809 770	2809 385	Filing a submission after final rejection (37 CFR 1.128(a))	
1810 770	2810 385	For each additional invention to be examined (37 CFR 1.128(b))	
1801 770	2801 385	Request for Continued Examination (RCE)	
1802 900	1802 900	Request for expedited examination or a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 0

SUBMITTED BY

Name (Print/Type)

Samuel A. Kassatly

Registration No.

32,247

(Complete if applicable)

Telephone 408-323-5111

Signature

*[Signature]*

Date 05/20/2004

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form in the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing this form, call 1-800-PTO-1590 and select option 6

BEST AVAILABLE COPY